

Eastern Railway

CPO's Serial No. 97 /2013.

No.E.787/0/Pen/Pt-XXVI.

Kolkata, dated 11.09.2013.

ALL CONCERNED

Sub:- Forms/Annexures for withdrawal on exit from New Pension system.

Copy of Railway Board's letter No.2010/AC-II/21/18 dated 16.08.2013 (RBA No21/2013) along with withdrawal forms /Annexures issued by Pension Fund Regulatory and Development Authority (PFRDA) on the above subject is sent herewith for information, guidance and necessary action. Board's earlier letter as referred to therein has been circulated vide CPO's S.L.No.84/2013.

DA:- Forms/Annexures containing 24 pages.

P.V. 11.9.13

(Md. Tarique)
Asstt. Personnel Officer/HQ
For Chief Personnel Officer

INDEX No.1058:- Forms/Annexures for withdrawal exit from NPS.

Copy of Board's letter No 2010/AC-II/21/18 dated 16.08.2013 (RBA No21/2013) along with Forms and Annexures issued by Pension Fund Regulatory and Development Authority (PFRDA) addressed to General Managers / FA&CAOs / CPOs, All Indian Railways /Production Units and copy to others is circulated herewith.

Sub:- Forms/Annexures for withdrawal on exit from New Pension system.

Contd---2/-

No. 2010/AC-II/21/18

Serial No.....

New Delhi - 110001, dated: 16.08.2013

**General Managers/CPOs/FA&CAOs,
All Indian Railways and PUs.***E R. Kolcote*

Sub: Forms/Annexures for withdrawal on exit from New Pension System.

Please refer to this office letter of even no. dated 02.07.2013 (RBA No. 16/2013) wherein Master Circular containing the interim procedure for withdrawal requests of employees arising out of the Superannuation/Premature exit/Death of the subscriber provided by Pension Fund Regulatory & Development Authority (PFRDA) was circulated. In this regard, reference was received regarding modalities of such withdrawal. NSDL has already circulated the instructions for processing of such requests to all FA&CAOs and PAOs. However, the same are summarized below:

- Upon Normal Superannuation:** At least 40% of the accumulated pension wealth of the subscriber needs to be utilized for purchase of annuity providing for monthly pension to the subscriber and balance is paid as lump sum payment to the subscriber.
- Upon Death:** The entire accumulated pension wealth (100%) would be paid to the nominee/legal heir of the subscriber and there would not be any purchase of annuity/monthly pension.
- Exit from NPS before the age of Normal superannuation (irrespective of cause):** At least 80% of the accumulated pension wealth of the subscriber needs to be utilized for purchase of annuity providing for monthly pension to the subscriber and the balance is paid as a lump sum payment to the subscriber

As per the interim procedure provided by PFRDA for withdrawal of accumulated pension wealth of subscriber, the requests are to be made in the following forms:

1. Withdrawal form for exiting on Normal Retirement. **(Annexure - 1)**
2. Withdrawal form for exiting before the age of Normal Retirement (irrespective of the cause) **(Annexure - 2)**
3. Withdrawal form for claimant on death of subscriber. **(Annexure - 3)**

29 AUG 2013

CPO(A)

G. CPO(H)

02/9/13

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C. P. ●

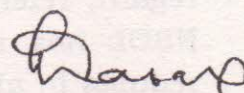
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05.09.13
1:00 PM

Apart from above, Annexure for nomination details (**Annexure - 4**) and list of Documents to be enclosed with application (**Annexure - 5**) are also attached herewith. It is requested to follow the process as advised by PFRDA. The Withdrawal Form along with the supporting documents are to be submitted in original to the Exceptional Handling Cell - CRA. Also kindly note that PAO/DDO has to attest copy of ID and Address proof (KYC) documents after verifying it with the original document and should write "verified with original" with his/her signatures and stamp on the copy. Subscriber/claimant also has to self-attest the bank proof provided by him/her with the Withdrawal form. NSDL may be contacted on the following email id for any further clarification:

npsclaimassist@nsdl.co.in.

Kindly ensure compliance.

DA: As above.



(Sanjeev Sharma)
Joint Director Accounts
Railway Board

National Pension System (NPS)**Withdrawal Form for Claim of Accumulated Pension Wealth on Superannuation for Government****Employees**

(To be filled in by Subscriber - Please fill all the details in CAPITAL LETTERS & in BLACK INK only.)

(FOR OFFICE PURPOSE ONLY-NOT TO BE FILLED IN BY THE SUBSCRIBER)

Date : Acknowledgement Number :

(DD/MM/YYYY)

(Generated by CRA)

DDO Registration No.: PAO/DTO/POP/POP-SP Registration No.: Receipt Number issued by receiving office: Entered By: Date: Verified By: Date: Self attested
photograph of the
subscriber

Sir/Madam,

I hereby submit a request for withdrawal under NPS for both Tier-I / Tier-II (please tick as applicable) fund accumulations in my Permanent Retirement Account and give below the necessary details:

Section A – Subscriber's Personal Details:1. PRAN *:

2. Full Name (As in PRAN Card) *:

First Name*

Middle Name

Last Name

3. Father's/Spouse name*:

First Name*

Middle Name

Last Name

4. Address*:

Flat/Unit No, Block no.*

Name of Premise/Building/Village

Area/Locality/Taluka

District/Town/City*

State / Union Territory*

Country*

Pin Code* 5. Mobile No. 6. Date of Birth*(As in PRAN Card): 7. Email ID: 7. Date of retirement*:

INSTRUCTIONS FOR FILLING IN THE FORM

I, _____ hereby nominate the person(s) mentioned below who is/are member(s)/non-member(s) of my family to receive the amount that may stand to my credit in the National Pension System as indicated below, in the event of my death before that eligible accumulated pension wealth amount has become payable or having become payable or having become payable has not been paid.

[illegible]

Flat/Unit No, Block no* _____

Name of Premise/Building/Village _____

Area/Locality/Taluka _____

District/Town/City* _____

State / Union Territory* _____

Country* _____ Pin Code* _____ Email ID: _____ Mobile No.: _____

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[illegible]

First Name*	Middle Name	Last Name

Signature/Left Thumb
Impression of the Subscriber

Particulars	1 st Witness	2 nd Witness
Name		
Address		
Signature		

*Note: Left thumb impression in case of illiterate male Subscriber and Right thumb impression in case of illiterate female subscriber must be obtained.

TO BE FILLED/ATTESTED BY DDO/POP-SP

Certified that the above declaration and nomination details has been signed / thumb impressed before me by Sh/Smt/Ms. _____ after he /she have read the entries / entries have been read over to him / her by me and got confirmed by him / her. Also certify that the date of retirement is as per employee records available with the Department. The date of retirement of the subscriber as per government record is as given below:

____ (DDMMYYYY)

Rubber Stamp of the DDO/POP-SP

Signature of the Authorised Person

DDO/POP-SP Registration Number _____
(Allotted by CRA)

Designation of the Authorised Person : _____

Date :

D D M M Y Y Y Y

DDO/POP-SP Office Name: _____

TO BE FILLED/ATTESTED BY PAO/DTO/POP/POP-SP

PAO/DTO/POP/POP-SP Registration Number (Allotted by CRA): _____

Rubber Stamp of the PAO/DTO/POP/POP-SP

Signature of the Authorised Person

CLAIM FOR THE WITHDRAWAL OF ACCUMULATED PENSION WEALTH OF THE SUBSCRIBER UNDER NATIONAL PENSION SYSTEM

Advanced Stamped Receipt

I _____ covered under the National Pension System with Permanent Retirement Account Number (PRAN) _____ has received a sum of Rs. _____ /- (Rupees _____ only) from National Pension System / National Pension System Trust by deposit in my Saving Bank / Current Account towards the settlement of my National Pension System (NPS) account.

Affix 1 Rupee
Revenue Stamp and
sign across

Signature or Left/ Right hand thumb impression of the NPS Subscriber*

(*Note: Left thumb impression in case of illiterate male claimants and Right thumb impression in case of illiterate female claimants must be obtained.)

ACKNOWLEDGMENT RECEIPT

Acknowledgment slip to the NPS Subscriber on receipt of completed application form for Withdrawal on Superannuation
(To be filled by PAO/DTO/POP/POP-SP)

Received from PRAN : _____

DDO Registration Number : _____ PAO/DTO/POP/POP-SP Registration Number: _____

Received at: _____ Date: _____ Time: _____

Acknowledgement Number : _____
(Generated by CRA)

INSTRUCTIONS FOR FILLING UP THE FORM

This application should be filled by the Subscriber seeking to withdraw pension wealth benefits upon Superannuation from Government Service.

Documents to be enclosed along with this application:-

1. PRAN card in original. In case PRAN card is not available, the subscriber needs to submit a duly notarized Affidavit as to the reasons of non-submission of the PRAN card.
2. Cancelled cheque (containing Subscriber Name, Bank Account Number and IFS Code) or Bank Certificate Containing Name, Bank Account Number and IFSC code, for direct credit or electronic transfer.
3. A pre-signed receipt acknowledging the receipt of the proceed under NPS by the subscriber
4. In addition to the PRAN card any other Identification and address proof of the subscriber. The photocopies of documents (Sr. No. a to i) and original document (Sr No. j) that can be provided as identification and address proof are as mentioned below:
 - a) Ration Card with photograph of the subscriber and residential address
 - b) Bank Passbook with photograph and residential address
 - c) Credit Card with photograph, any other address proof like latest telephone bill, electricity bill in the name of the subscriber.
 - d) Passport
 - e) Aadhar Card issued by UIAD
 - f) Voter's Photo Identity Card with residential address
 - g) Driving license with photograph and residential address
 - h) PAN card and any other address proof like latest telephone bill, electricity bill in the name of the subscriber.
 - i) Final relieving certificate from government service on superannuation, if the application for withdrawal is submitted through the Points of Presence (POP).
 - j) Certificate of identity with photograph signed by a Member of Parliament or Member of Legislative Assembly or Municipal Councilor or a Gazetted Officer and any other address proof like latest telephone bill, electricity bill in the name of the subscriber (to be provided original)

In case if the address is not present on any of the above documents or differs with address provided in this form, proof in respect of current residential address like latest telephone bill, electricity bill in the name of the subscriber should be submitted.

GENERAL INSTRUCTIONS:

1. All the columns in the form should be filled with black ink pen without any overwriting.
2. Fields marked with (*) are mandatory.
3. Correct postal address, including the pin code should be provided.
4. Percentage of allocation for amount to be withdrawn as Lump-sum and amount to purchase life annuity. Subscriber can withdraw maximum 60% of pension wealth and is required to transfer minimum 40% of pension wealth to annuity. For example, for a total corpus of Rs.1000, if subscriber wants Rs.300 as lump-sum and Rs.700 for annuitisation, subscriber to select 30% and 70%.
5. Please select the type of lump-sum withdrawal as one-time or phased. For e.g. for a total corpus of Rs. 1000/- subscriber has selected Rs. 300 as lump-sum amount. For one time withdrawal subscriber will be given Rs. 300 as lump-sum amount on processing of withdrawal request. For phased withdrawal subscriber will be given minimum of 10% i.e. Rs. 30 for the period of 10 years, at the age of 70 years, subscriber would compulsorily withdraw any amount lying to their credit.
6. Instructions for nomination
 - Subscriber can nominate maximum of three nominees.
 - Subscriber cannot fill the same nominee details more than once.
 - Percentage share value for all the nominees must be integer. Fractional value will not be accepted.
 - Sum of percentage share across all the nominees must be equal to 100. If sum of percentage is not equal to 100, entire nomination will be rejected.
 - If a nominee is a minor, then nominee's guardian details will be mandatory

For the purpose of this document Pension Wealth means: The total amount of contributions made by the subscriber in the scheme plus the investment income derived from the investment of the contributions made by the subscriber from the date of joining of New Pension System till the date of execution of withdrawal request in the CRA System.

National Pension System (NPS)**Withdrawal Form for Claim of Accumulated Pension Wealth on exiting before the age of normal superannuation for Government Employees**

(To be filled in by Subscriber - Please fill all the details in CAPITAL LETTERS & in BLACK INK only.)

(FOR OFFICE PURPOSE ONLY-NOT TO BE FILLED IN BY THE SUBSCRIBER)

Self attested
photograph of the
subscriberDate : Acknowledgement Number :

(DD/MM/YYYY)

(Generated by CRA)

DDO Registration No.: PAO/DTO/POP/POP-SP Registration No.: Receipt Number issued by receiving office: Entered By: Date: Verified By: Date:

Sir/Madam,

I hereby submit a request for withdrawal under NPS for both Tier-I and Tier-II fund accumulations in my Permanent Retirement Account and give below the necessary details:

Section A – Subscriber's Personal Details:1. PRAN *:

2. Full Name (As in PRAN Card) *:

First Name*

Middle Name

Last Name

3. Father's/Spouse name*:

First Name*

Middle Name

Last Name

4. Address*:

Flat/Unit No, Block no.*

Name of Premise/Building/Village

Area/Locality/Taluka

District/Town/City*

State / Union Territory*

Country*

 Pin Code* 5. Mobile No. 6. Date of Birth*(As in PRAN Card): 7. Email ID: 7. Date of resignation*:

Section B – Subscriber's Withdrawal Details:**Tier – I Account:**

1. The Lump-sum amount to be withdrawn and amount to purchase annuity in case of normal withdrawal* (Please refer General Instruction no.4)

Description	Lump-Sum amount (Maximum of 20%)	Amount to purchase life annuity (Minimum of 80%)	Total
% share			100%

Note: A separate form needs to be filled in for purchase of Annuity and for arranging the monthly pension/annuity. The subscriber is required to follow the procedure laid down by Annuity Service Provider (ASP)

Tier – II Account:

The entire accumulated pension wealth would be paid out in single lump sum along with the withdrawal/payment of the Tier-I account.

Section C – Subscriber's Bank Details:

1. For Electronic transfer or Direct Credit through ECS/NEFT/RTGS, Proof attached for Bank Details*:

Cancelled Cheque

☐

Bank Certificate

☐

(Please note that Mode of remittance of funds would be through ECS/NEFT/RTGS/Electronic Transfer only and bank charges, if any, for direct credit would be deducted from pension wealth)

2. Type of Bank Account*: Savings A/c

☐

Current A/c

☐

3. Bank A/c Number*

4. Bank Name*

5. Bank Branch*

6. Bank Address*

7. Pin Code*

8. Bank IFS Code*

9. Bank MICR Code (Wherever applicable)

Section D – Subscriber's Annuity Details:

1. Annuity Service Provider (ASP) Name *:

2. ASP ID *:

3. ASP Scheme Name *:

4. ASP Scheme ID *:

Declaration:

I _____, NPS Subscriber, my PRAN is _____
do hereby declare that the information provided above is true to the best of my knowledge and belief.

Date:

D D M M Y YYY

*Note: Left thumb impression in case of illiterate male claimants and Right thumb impression in case of illiterate female claimants must be obtained.

Signature/Left Thumb
Impression of the Subscriber

TO BE FILLED/ATTESTED BY DDO/POP-SP

Certified that the above declaration and nomination details have been signed / thumb impressed before me by Sh/Smt/Ms _____ after he / she have read the entries / entries have been read over to him / her by me and got confirmed by him / her. Also certify that the date of relieving is as per employee records available with the Department. The date of relieving of the subscriber as per government/employer record is as given below:

D	D	M	M	Y	Y	Y	Y

Rubber Stamp of the DDO/POP-SP

Signature of the Authorised Person

DDO/POP-SP Registration Number _____
(Allotted by CRA)

Designation of the Authorised Person : _____

Date :

D	D	M	M	Y	Y	Y	Y

DDO/POP-SP Office Name : _____

TO BE FILLED/ATTESTED BY PAO/DTO/POP/POP-SP

PAO/DTO/POP/POP-SP Registration Number (Allotted by CRA):

Rubber Stamp of the PAO/DTO/POP/POP-SP

Signature of the Authorised Person

CLAIM FOR THE WITHDRAWAL OF ACCUMULATED PENSION WEALTH OF THE SUBSCRIBER UNDER NATIONAL PENSION SYSTEM

Advanced Stamped Receipt

_____ covered under the National Pension System with Permanent Retirement Account Number (PRAN) _____ has received a sum of Rs. _____/- (Rupees _____ only) from National Pension System / National Pension System Trust by deposit in my Saving Bank / Current Account towards the settlement of my National Pension System (NPS) account.

Affix 1 Rupee
Revenue Stamp
and sign across

Signature or Left/ Right hand thumb impression of the NPS Subscriber*

(*Note: Left thumb impression in case of illiterate male claimants and Right thumb impression in case of illiterate female claimants must be obtained.)

ACKNOWLEDGMENT RECEIPT

Acknowledgment slip to the NPS Subscriber on receipt of completed application form for Withdrawal on exiting before the age of normal superannuation
(To be filled by PAO/DTO/POP/POP-SP)

Received from PRAN : _____

DDO Registration Number : _____ PAO/DTO/POP/POP-SP Registration Number: _____

Received at: _____ Date: _____ Time: _____

[illegible]

INSTRUCTIONS FOR FILLING UP THE FORM

This application should be filled by the Subscriber seeking to withdraw pension wealth benefits before attaining the age of normal superannuation from Government Service

Documents to be enclosed along with this application:-

1. PRAN card in original. In case PRAN card is not available, the subscriber needs to submit a duly notarized Affidavit as to the reasons of non-submission of the PRAN card.
2. Cancelled cheque (containing Subscriber Name, Bank Account Number and IFS Code) or Bank Certificate Containing Name, Bank Account Number and IFSC code, for direct credit or electronic transfer.
3. A pre-signed receipt acknowledging the receipt of the proceed under NPS by the subscriber
4. In addition to the PRAN card any other Identification and address proof of the subscriber. The photocopies of documents (Sr. No. a to i) and original document (Sr. No. j) that can be provided as identification and address proof are as mentioned below:
 - a) Ration Card with photograph of the subscriber and residential address
 - b) Bank Passbook with photograph and residential address
 - c) Credit Card with photograph, any other address proof like latest telephone bill, electricity bill in the name of the subscriber.
 - d) Passport
 - e) Aadhar Card issued by UIAD
 - f) Voter's Photo Identity Card with residential address
 - g) Driving license with photograph and residential address
 - h) PAN card and any other address proof like latest telephone bill, electricity bill in the name of the subscriber.
 - i) Final relieving certificate from government service on superannuation, if the application for withdrawal is submitted through the Points of Presence (POP).
 - j) Certificate of identity with photograph signed by a Member of Parliament or Member of Legislative Assembly or Municipal Councilor or a Gazetted Officer and any other address proof like latest telephone bill, electricity bill in the name of the subscriber (to be provided original)

In case if the address is not present on any of the above documents or differs with address provided in this form, proof in respect of current residential address like latest telephone bill, electricity bill in the name of the subscriber should be submitted.

GENERAL INSTRUCTIONS:

1. All the columns in the form should be filled with black ink pen without any overwriting.
2. Fields marked with (*) are mandatory.
3. Correct postal address, including the pin code should be provided.
4. Percentage of allocation for amount to be withdrawn as Lump-sum and amount to purchase life annuity. Subscriber can withdraw maximum 20% of pension wealth and is required to transfer minimum 80% of pension wealth to annuity. For example, for a total corpus of Rs.1000/-, if subscriber wants Rs.100 as lump-sum and Rs.900 for annuitisation, subscriber to select 10% and 90%.
5. Instructions for nomination
 - Subscriber can nominate maximum of three nominees.
 - Subscriber cannot fill the same nominee details more than once.
 - Percentage share value for all the nominees must be integer. Fractional value will not be accepted.
 - Sum of percentage share across all the nominees must be equal to 100. If sum of percentage is not equal to 100, entire nomination will be rejected.
 - If a nominee is a minor, then nominee's guardian details will be mandatory

For the purpose of this document Pension Wealth means: The total amount of contributions made by the subscriber in the scheme plus the investment income derived from the investment of the contributions made by the subscriber from the date of joining of National Pension System till the date of execution of withdrawal request in the CRA System.

National Pension System (NPS)**Withdrawal of Accumulated Pension Wealth by Claimant due to the death of the subscriber**

(Please fill all the details in CAPITAL LETTERS & in BLACK INK only.)

This application should be filled by:-

If a valid nomination subsists: By the Nominee (s), if the nominee (s) is/are minor (s) guardian of the minor(s)

If no nomination subsists: By the family members (family includes posthumous child if any) except major sons and married daughters whose husbands are live, of the deceased family member duly supported by a list of surviving family members furnished by Executive Magistrate indicating complete particulars such as name, relationship with the deceased member (in case of parents whether dependent or not) age, marital status. Also, if any family member is minor by the guardian of the minor.

If both 1 & 2 above are not applicable, By legal heir (s) duly supported by a 'legal heir certificate' from the appropriate state authority.

In case of multiple claimants, separate forms need to be filled and submitted.

(FOR OFFICE PURPOSE ONLY-NOT TO BE FILLED IN BY THE CLAIMANTS)

Date: Acknowledgement Number:

(DD/MM/YYYY)

(Generated by CRA)

DDO Registration No.: PAO/DTO/POP/POP-SP Registration No.: Receipt Number issued by receiving office: Entered By: Date: Verified By: Date:

Sir/Madam,

I/We being a nominee(s)/legal heir(s)/guardian of minor nominee(s) or minor heir(s) of the deceased subscriber apply for the payment of the accumulated pension wealth of the deceased subscriber under the NPS for both Tier-I / Tier-II (please tick as applicable). I / we understand further that the entire accumulated pension wealth in both Tier I and Tier II (as applicable) would be settled as per the NPS scheme and hereby give below the necessary details:

Section A – Subscriber's Details:1. PRAN *:

2. Full Name (As in PRAN Card) *:

First Name* Middle Name Last Name

3. Father's name/Spouse' Name*:

First Name* Middle Name Last Name 4. Date of Birth of the deceased subscriber *(As in PRAN Card):

(DDMMYYYY)

5. Date of subscriber's death (DDMMYYYY)

Declaration:

I/We (as mentioned below), the nominee(s)/legal heir(s)/guardian of minor nominee(s) or minor heir(s) of NPS Subscriber Shri/Smt./Ms. _____ do hereby declare that the information provided above is true to the best of my/our knowledge and belief.

Claimants Signature (Signature of guardian in case the claimant is a minor)	Name of the Claimant or of guardian	Self attested photograph of the Claimant /guardian							
Signature/Left Thumb Impression*	Date : <table border="1" style="display: inline-table; vertical-align: middle;"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table> D D M M Y Y Y Y								

TO BE FILLED/ATTESTED BY DDO/POP-SP

Certified that the above declaration and details has been signed / thumb impressed before me by Sh./Smt./Ms. _____ after the nominee(s)/legal heir(s)/guardian of minor nominee(s) or minor heir(s) has read the entries / entries have been read over to him / her by me and got confirmed by him / her.

Rubber Stamp of the DDO/POP-SP	Signature of the Authorised Person								
DDO/POP-SP Registration Number _____ (Allotted by CRA)	Designation of the Authorised Person : _____								
Date : <table border="1" style="display: inline-table; vertical-align: middle;"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table> D D M M Y Y Y Y									DDO/POP-SP Office Name : _____

TO BE FILLED/ATTESTED BY PAO/DTO/POP/POP-SP

Rubber Stamp of the PAO/DTO/POP/POP-SP	PAO/DTO/POP/POP-SP Registration Number (Allotted by CRA): _____
	Signature of the Authorised Person

**CLAIM FOR THE WITHDRAWAL OF ACCUMULATED PENSION WEALTH BY CLAIMANTS –
DUE TO THE DEATH OF THE SUBSCRIBER UNDER NATIONAL PENSION SYSTEM**

Advanced Stamped Receipt

Claimant / Guardian of the Claimant (if the claimant is minor)

Received a sum of Rs. /- (Rupees.....Only) from
 National Pension System / National Pension System Trust by deposit in my Saving Bank / Current account towards the
 settlement of National Pension System account of late Shri/Smt.....with
 PRAN Number

Affix 1 Rupee
 Revenue Stamp
 and sign across

Signature or Left/ Right hand thumb impression of the Nominee/Guardian*

Requirements submitted along with this form	Yes / No
Original PRAN Card	
In the absence of PRAN card, notarized affidavit	
Death certificate in original issued by local authorities	
Photo ID	
Address proof of the Claimant	
Date of birth proof of claimant	
Legal heir certificate	
Certified copy of family member's certificate issued by Executive Magistrate	
Cancelled cheque (containing nominee Name, Bank Account Number and IFS Code) or Bank Certificate	
Discharge Certificate from the employer (in case claim is lodged through a POP/POP-SP)	
Note: PFRDA reserves the right to call for additional requirements, if needed for establishing a valid claim under National Pension System.	

DECLARATION & AUTHORIZATION

I hereby declare that the information given on this death claim application form is true and complete to the best of my knowledge and belief. I hereby declare and agree that any personal information collected or held by the National Pension System (NPS) (whether contained in this application or otherwise obtained) is provided and may be held, used, and disclosed by the Company to individuals/organisations associated with the NPS or any selected third party (within or outside of India) for the purposes of processing this application.

Witness Signature _____

Claimant Signature _____

Name of the Witness _____
(in block letters, family name first)

Name of Claimant _____

Address of Witness: _____ Date: ____/____/____
DD MM Y YYYYDate: ____/____/____
DD MM Y YYYY**ACKNOWLEDGMENT RECEIPT**

Acknowledgment slip to the Claimant on receipt of completed application form for Withdrawal due to death of the subscriber
(To be filled by PAO/DTO/POP/POP-SP)

Received from PRAN :

PAO/DTO/POP/POP-SP Registration Number: _____ PAO/DTO/POP/POP-SP Office Name : _____

Received at: _____ Date : _____ Time: _____

Acknowledgement Number :
(Generated by CRA)

INSTRUCTIONS FOR FILLING UP THE FORM

1. All the columns in the form should be filled with black ink pen without any overwriting
2. Fields marked with (*) are mandatory.
3. The day on which CRA receives the confirmation of funds transferred to Subscriber's accounts; the PRAN will be deactivated in the CRA System.
4. Correct postal address, including the pin code should be provided
5. The literate claimant should sign the application form. In case of the claimant being illiterate, Left hand thumb impression by illiterate male claimant and Right hand thumb impression by illiterate female should be affixed in the claim form.
6. If the Nominee/ legal heir is minor, Bank account number should be in the name of nominee/ legal heir. Bank account's guardian should be same as mentioned in the withdrawal form.

Documents to be enclosed with the application:-

1. Death certificate in original of the deceased subscriber.
2. PRAN card in original. In case PRAN card is not available, a duly notarized affidavit as to the reasons of non-submission of the PRAN card is needs to be submitted.
3. Certified copy of family member's certificate issued by Executive Magistrate for cases where no nomination was registered with us.
4. Legal heir certificate when the claim is being made by.
5. Cancelled cheque (containing nominee Name, Bank Account Number and IFS Code) or Bank Certificate containing Name, Bank Account Number and IFSC code, for direct or electronic transfer.
6. A pre-signed receipt acknowledging the receipt of the proceeds by nominee/nominees/legal heir (as applicable)
1. Identification and address proof of the nominee or nominees, in case of multiple nominees. The photocopies of documents (Sr. No. a to h) and original document (Sr. No. i) that can be provided as identification and address proof are as mentioned below:
 - a) Ration Card with photograph and residential address
 - b) Bank Passbook with photograph and residential address
 - c) Credit Card with photograph, any other address proof like latest telephone bill, electricity bill in the name of the nominee.
 - d) Passport
 - e) Aadhar Card issued by UIDAI
 - f) Voter's Photo Identity Card with residential address
 - g) Driving license with photograph and residential address
 - h) PAN card and any other address proof like latest telephone bill, electricity bill in the name of the nominee.
 - i) Certificate of identity with photograph signed by a Member of Parliament or Member of Legislative Assembly or Municipal Councilor or a Gazetted Officer and any other address proof like latest telephone bill, electricity bill in the name of the nominee (to be provided original)

In case if the address is not present on any of the above documents or differs with address provided in this form, proof in respect of current residential address like latest telephone bill, electricity bill in the name of the nominee should be submitted.

For the purpose of this document Pension Wealth means: The total amount of contributions made by the subscriber in the scheme plus the investment income derived from the investment of the contributions made by the subscriber from the date of joining of National Pension System till the date of execution of withdrawal request in the CRA System.

Annexure for Nomination Details**INSTRUCTIONS FOR FILLING IN THE FORM**

The details of nominees to whom the outstanding pension wealth of the subscriber is payable in case of the demise of the subscriber before entire proceeds are withdrawn (Please refer general instruction no: 6) is to be provided hereunder. Also, please note that in case of demise of the subscriber after opting for phased withdrawal, all the outstanding pension wealth out of the phased lump sum withdrawal in the account of the subscriber will be paid to the nominees as mentioned in this form and the same would be treated as full and final discharge of the obligation.

I, _____ hereby nominate the person(s) mentioned below who is/are member(s)/non-member(s) of my family to receive the amount that may stand to my credit in the National Pension System as indicated below, in the event of my death before that eligible accumulated pension wealth amount has become payable or having become payable or having become payable has not been paid.

1. Name of the Nominee*:

1st Nominee		2nd Nominee		3rd Nominee	
First Name*		First Name*		First Name*	
Middle Name		Middle Name		Middle Name	
Last Name		Last Name		Last Name	

2. Present Communication address of the nominees:

Address of 1 st Nominee	Address of 2 nd Nominee	Address of 3 rd Nominee

3. Date of Birth* (Only in case of a minor):

1st Nominee	2nd Nominee	3rd Nominee

4. Relationship with the Nominee*:

1st Nominee	2nd Nominee	3rd Nominee

5. Percentage Share*:

1st Nominee	%	2nd Nominee	%	3rd Nominee	%

6. Nominee's Guardian Details* (Only in case of a minor):

1st Nominee's Guardian Details		2nd Nominee's Guardian Details		3rd Nominee's Guardian Details:	
First Name*		First Name*		First Name*	
Middle Name		Middle Name		Middle Name	
Last Name		Last Name		Last Name	

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Dated this _____ day of _____ 20 ____ at _____.

Particulars	1 st Witness	2 nd Witness
Name		
Address		
Signature		

Signature/Left Thumb Impression of the Subscriber

*Note: Left thumb impression in case of illiterate male Subscriber and Right thumb impression in case of illiterate female subscriber must be obtained.

TO BE FILLED/ATTESTED BY DDO/POP-SP

Certified that the above declaration and nomination details has been signed / thumb impressed before me by Sh/Smt/Ms. _____ after he / she have read the entries / entries have been read over to him / her by me and got confirmed by him / her.

Rubber Stamp of the DDO/POP-SP

Signature of the Authorised Person

DDO/POP-SP Registration Number _____
(Allotted by CRA)

Designation of the Authorised Person : _____

Date :

D	D	M	M	Y	Y	Y	Y

DDO/POP-SP Office Name : _____

TO BE FILLED/ATTESTED BY PAO/DTO/POP/POP-SP

PAO/DTO/POP Registration Number (Allotted by CRA): _____

Rubber Stamp of the PAO/DTO/POP/POP-SP

Signature of the Authorised Person

Documents to be enclosed with the application

1. List of documents acceptable as Proof Identity and Address for exit under NPS (for all variants):-

Sl. No	Proof of Identity (Copy of any one of the given below documents)	Proof of Address (Copy of any one of the given below documents)
a	Passport issued by Government of India.	Passport issued by Government of India.
b	Ration Card with Photograph.	Ration card with photograph and residential address.
c	Bank pass book or Certificate with Photograph.	Bank Pass book or certificate with photograph and residential address.
d	Voters Identity card with photograph and residential address	Voters Identify card with photograph and residential address.
e	Valid Driving license with photograph.	Valid Driving license with photograph and residential address.
f	PAN Card issued by income tax department.	Letter from any recognized public authority at the level of Gazetted officer like District Magistrate, Divisional Commissioner, BDO, Tehsildar, Mandal Revenue Officer, Judicial Magistrate etc.
g	Certificate of identify with photograph signed by a Member of Parliament or Member of Legislative Assembly.	Certificate of address with photograph signed by a Member of Parliament or member of Legislative Assembly.
h	Aadhar Card/letter issued by Unique Identification Authority of India.	Adhar Card/Letter issued by unique identification Authority of India Clearly showing the address.
i	Job Cards issued by NREGA duly signed by an officer of the State Government.	Job cards issued by NREGA duly signed by an Officer of the State Government.
j	Photo Identity card issued by Government Defence, Paramilitary and Police Departments.	Latest Electricity/Water bill in the name of the subscriber / Claimant and showing the address (Less than 6 months old).
k	Ex-Service Man Card issued by Ministry of Defence to their employees.	Latest Telephone bill in the name of the subscriber/ Claimant and showing the address (less than 6 months old).
l	Photo credit Card.	Latest property/house Tax Receipt (not more than one year old).
m	-	Existing Valid registered lease agreement of the house on stamp paper (in case agreement of the house on stamp paper (in case of rented/leased accommodation).
n	Identity card issued by Central /State government and its Departments, Statuary/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc.	The identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statuary/Regulatory Authorities, Public Sector Undertakings, Schedules Commercial Banks, Public Financial Institution for their Employees.

Dated this _____ day of _____ 20 ____ at _____.

Particulars	1 st Witness	2 nd Witness
Name		
Address		
Signature		

Signature/Left Thumb Impression of the Subscriber
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*Note: Left thumb impression in case of illiterate male Subscriber and Right thumb impression in case of illiterate female subscriber must be obtained.

TO BE FILLED/ATTESTED BY DDO/POP-SP

Certified that the above declaration and nomination details has been signed / thumb impressed before me by Sh/Smt/Ms. _____ after he / she have read the entries / entries have been read over to him / her by me and got confirmed by him / her.

Rubber Stamp of the DDO/POP-SP

Signature of the Authorised Person

DDO/POP-SP Registration Number _____
(Allotted by CRA)

Designation of the Authorised Person : _____

Date :

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D D M M Y Y Y Y

DDO/POP-SP Office Name : _____

TO BE FILLED/ATTESTED BY PAO/DTO/POP/POP-SP

PAO/DTO/POP Registration Number (Allotted by CRA): _____

Rubber Stamp of the PAO/DTO/POP/POP-SP

Signature of the Authorised Person

2. In addition to the above, Original PRAN card is required. In case PRAN card is not available, the subscriber needs to submit a duly notarized Affidavit as to the reasons of non-submission of the PRAN card.
3. Cancelled cheque (containing Subscriber Name, Bank Account Number and IFS Code) or Bank Certificate Containing Name, Bank Account Number and IFS Code, if opted for direct credit or electronic transfer.
4. A pre-signed receipt acknowledging the receipt of the proceeds under NPS by the subscriber/claimant/nominee.
5. In case of Withdrawal of Accumulated Pension Wealth by Claimant/Nominee due to the death of a subscriber, the Claimant/Nominee needs to submit Death certificate in original of the deceased subscriber.

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